## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tsuyoshi KANEKO et al.

Application No.: New U. S. Application

Filed: January 22, 2004 Docket No.: 118117

For: LIGHT-RECEIVING ELEMENT, MANUFACTURING METHOD FOR THE SAME,

OPTICAL MODULE, AND OPTICAL TRANSMITTING DEVICE

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. The present application was filed or entered the U.S. National Stage of the PCT after June 30, 2003. In accordance with the June 11, 2003, Notice waiving the requirements of 37 C.F.R. §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.
- 3. English-language Abstracts of the non-English language references 3-7 are attached hereto.
- 4. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See References 3-7.

Respectfully submitted,

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Date: January 22, 2004

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
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Form PTO-1449 (REV. 8-83)  PATENT & TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)			ATTY DOCKET NO. 118117			APPLICATION NO. New U.S. Application		
			APPLICANT(S) Tsuyoshi KANEKO et al.					
					GROUP			
	U.S.	PAT	ENT DOC	JMENTS				
	DOCUMENT NUMBER		DATE	NAME	NAME		SUB CLASS	
1.	5,498,684	3/12/1996		BENDER				
2.	5,707,684	1/13/1998		HAYES et al.				
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<b>!</b>	FOREI	GN P	ATENT DO	DCUMENTS			*	
	DOCUMENT NUMBER		DATE	COUNTRY		CLASS	SUB CLASS	
3.	JP A 2003-066299 w/abstract & transl.	3/5	/2003	JAPAN				
4.	JP A 5-102513 w/abstract & transl.	4/2	3/1993	JAPAN				
5.	JP A 5-120722 w/abstract & transl.	5/1	8/1993	JAPAN				
6.	JP A 2002-331532 w/abstract & transl.	11/	19/2002	JAPAN				
7.	JP A 2000-67449 w/abstract & transl.	3/3	/2000	JAPAN				
	OTHER DOCUMENTS (In	cludii	ng Author,	Title, Date, Pertinent Pages, etc.)				
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	1. 2. 3. 4. 5. 6.	PATENT & TRADEMARK OFFICE MATION DISCLOSURE STATEMENT  (Use several sheets if necessary)  U.S.  DOCUMENT NUMBER  1. 5,498,684  2. 5,707,684  FOREIGE  DOCUMENT NUMBER  3. JP A 2003-066299 w/abstract & transl.  4. JP A 5-102513 w/abstract & transl.  5. JP A 2002-331532 w/abstract & transl.  6. JP A 2000-67449 w/abstract & transl.  7. JP A 2000-67449 w/abstract & transl.	PATENT & TRADEMARK OFFICE  MATION DISCLOSURE STATEMENT  (Use several sheets if necessary)  U.S. PAT  DOCUMENT NUMBER  1. 5,498,684 3/1  2. 5,707,684 1/1  FOREIGN P.  DOCUMENT NUMBER  3. JP A 2003-066299 w/abstract & transl.  4. JP A 5-102513 w/abstract & transl. 4/2  5. JP A 5-120722 w/abstract & transl. 5/1  6. JP A 2002-331532 w/abstract & transl.  7. JP A 2000-67449 w/abstract & transl.  3/3	PATENT & TRADEMARK OFFICE  MATION DISCLOSURE STATEMENT  (Use several sheets if necessary)  DOCUMENT NUMBER  1. 5,498,684  2. 5,707,684  DOCUMENT NUMBER  DATE  1. 5,498,684  1/13/1998  DOCUMENT NUMBER  DATE  3. JP A 2003-066299 w/abstract & transl.  4. JP A 5-102513 w/abstract & transl.  JP A 5-120722 w/abstract & transl.  5/18/1993  5. JP A 2002-331532 w/abstract & transl.  11/19/2002  17. JP A 2000-67449 w/abstract & 3/3/2000	### PATENT & TRADEMARK OFFICE ####################################	US Dept. of Commerce PATENT & TRADEMARK OFFICE  MATION DISCLOSURE STATEMENT  (Use several sheets if necessary)  APPLICANT(S) Tsuyoshi KANEKO et al.  FILING DATE January 22, 2004  U.S. PATENT DOCUMENTS  DOCUMENT NUMBER  DATE  S,498,684  3/12/1996  BENDER  2. 5,707,684  1/13/1998  HAYES et al.  FOREIGN PATENT DOCUMENTS  DOCUMENT NUMBER  DATE  COUNTRY  3. JP A 2003-066299 w/abstract & transl.  4. JP A 5-102513 w/abstract & transl.  4/23/1993  JAPAN  5. JP A 2002-331532 w/abstract & transl.  7. JP A 2000-67449 w/abstract & 11/19/2002  JAPAN  JAPAN	US Dept. of Commerce PATENT & TRADEMARK OFFICE  MATION DISCLOSURE STATEMENT  (Use several sheets if necessary)    APPLICATION	

Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

Date: January 22, 2004

**EXAMINER**